

CLIENT COMPLAINT MANAGEMENT FORM**1. Client's Details**

Title (Mr, Mrs.): Name (surname):

Other names:

Residential Address:

Phone Number: Email Address:

2. Details of any other Person Involved

Title (Mr, Mrs.): Name (surname):

Other names:

Residential Address:

Phone Number: Email Address:

3. Services Supplied to the Client

- a. Equity Trading
 - Cordros Trade Button: Mandate Executed on behalf of client:
- b. Fixed Income: Cordros Chase: Portfolio Management:
- c. Other Services:

4. Details of Client's Complaint:

Office Use Only

Complaint received by: _____ Date received: _____

Action taken or required: _____

Client's Signature: _____ Receiving Officer's Signature: _____