

Individual Mutual Funds Subscription Form.

PLEASE COMPLETE IN BLOCK LETTERS

Personal Information

Title	Minor.		Attach Passport Photo
Full Name of Individual			
Address			
Mobile Phone Number	Home/Office Phone No.		
Email Address			
Date of Birth	/ /	Gender: Male <input type="checkbox"/> Female <input type="checkbox"/>	
Nationality	State of Origin	LGA of Origin	
Mother's Maiden Name			
Guardian's Full Name			
Guardian's Date of Birth	/ /	Phone Number	
Guardian's Email Address			
Guardian's Address			
Guardian's BVN			

Bank Details

BVN		
Bank 1 (Main Account)		Bank 2 (Main Account)
Account Number 1		Account Number 2
Branch Name		Branch Name
Account Name		Account Name
Account Type	Savings <input type="checkbox"/> Current <input type="checkbox"/> Domiciliary <input type="checkbox"/>	Account Type
		Savings <input type="checkbox"/> Current <input type="checkbox"/> Domiciliary <input type="checkbox"/>

Investment Details

Cordros Money Market Fund	Cordros Milestone Fund	Cordros Dollar Fund
Amount to be invested ₦	Amount to be invested ₦	Amount to be invested \$
Quarterly dividend distribution should be Re-invested into the fund <input type="checkbox"/> Transferred to my account <input type="checkbox"/>	Annual dividend distribution should be Re-invested into the fund <input type="checkbox"/> Transferred to my account <input type="checkbox"/>	Annual dividend distribution should be Re-invested into the fund <input type="checkbox"/> Transferred to my account <input type="checkbox"/>
Fund Bank Details Bank Name: UBA PLC Acct Number: 1019827121 Name: STL TRUSTEES/CORDROS MONEY MARKET FUND	Fund Bank Details Bank Name: UBA PLC Acct Number: 1021487517 Name: STL TRUSTEES/CORDROS MILESTONE 2023	Fund Bank Details To: Stanbic IBTC Swift Code: SBICNGLX For Credit To: Cordros Dollar Fund/STL Trustees Correspondent Bank: Citibank N.A 111 Wall Street New York Swift Code: CITIUS33 ABA 021000089 Corresponding Bank Account Number: 36127476
Minimum initial subscription is 100 units	Minimum initial subscription is 25 units	Minimum initial subscription is 5 units
Cordros Halal Fixed Income Fund	Cordros Fixed Income Fund	
Amount to be invested ₦	Amount to be invested ₦	
Annual dividend distribution should be Re-invested into the fund <input type="checkbox"/> Transferred to my account <input type="checkbox"/>	Annual dividend distribution should be Re-invested into the fund <input type="checkbox"/> Transferred to my account <input type="checkbox"/>	
Fund Bank Details Bank Name: UBA PLC Acct Number: 1024103869 Name: STL TRUSTEES/CORDROS HALAL FIXED INCOME FUND	Fund Bank Details Bank Name: UBA PLC Acct Number: 1024393772 Name: STL TRUSTEES/CORDROS FIXED INCOME FUND	
Minimum initial subscription is 25 units	Minimum initial subscription is 25 units	
		Signature
		Date

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Employment Status

Employed Self Employed Not Employed Retiree Student

Employer _____

Designation _____

Expected source of funds to be invested

Employment Income Pension/Retirement Savings Funds from other investments Sale of Business/Assets

Others (Please specify) _____

Declaration

- I declare that I am at least 18 years old
- I We am acting on behalf of my child/ward.
- That past performance is not a guide to future returns. The value of investments and income from them may go down as well as up.
- I agree that:
The redemption process takes 3-5 working days and a draft or fund transfer is made in favor of the unit holder (as the name appears on this form and all requests for redemption shall be on the redemption form.

Document Checklist

- Duly completed Subscription form
- Valid means of Identification of the applicant/signatories. E.g. Drivers license/International passport/National Id card
- Utility Bill (Not more than 3 months old) showing residential address of account holders.
- Passport photo of the applicant/Signatories
- Birth Certificate (for minors)

PEP Declaration

Are you a Political Exposed Person*** or Affiliated to a Politically Exposed Person? Yes No

If Yes, provide details _____

Politically Exposed Persons (PEP) means: (1) a prominent public figure who is a natural person currently or formerly entrusted with a senior public role or function (e.g., a senior official in the executive, legislative, military, administrative, or judicial branches of government, traditional and/or royal title holders); (2) an immediate family member, which includes as the spouse/partner, parent, grandparent, sibling, child, step-child, or in-law of a prominent public figure; (3) a known close associate, which includes those individuals that are widely- and publicly-known to maintain a close relationship to the prominent public figure. These known close relationships can occur with anyone and in any capacity, but some examples include distant relatives, advisors, partners outside the family unit, employees, business associates and representatives/agents. (4) Prominent religious institutions and their leadership structure.

Terms and Conditions

- You agree that all transactions carried out on your account are subject to the provisions of the Money Laundering (Prohibition) Act 2022 of the Federal Republic of Nigeria and hereby agree to carry out your responsibilities under the Act. Furthermore, you agree that Cordros is required by regulation to report all suspicious transactions to the relevant regulatory bodies.
- Cordros reserves the right to record any telephone conversations between you and any member of Cordros' staff and you acknowledge that this is in line with international best practice and shall be done solely for the purpose of resolving any disputes which may arise concerning telephone advice or instructions.
- All redemption proceeds shall be transferred to the dedicated Bank Account Number provided by you in this form only and Cordros is not obligated to honor any other bank account other than that provided by you, unless you have taken steps to substitute the bank account on our records in writing by completing the update form, which content shall take effect immediately upon submission. Please note that third party payments are not allowed and Cordros is not obligated to honor such requests. All redemption proceeds shall be paid only to the registered beneficiary's account.
- Should you have cause to suspect that your bank account details have been compromised by unknown third parties, you are obligated to inform us immediately, failure of which Cordros will not be held responsible for any fraud committed on your chosen bank account subsequent to the transfer of your redemption proceeds.
- The client agrees and authorizes Cordros without reservation to make third-party enquiries about his/her person and business now or at any time in future in order to satisfy all required Know your Customer ("KYC") obligations statutorily imposed from time to time on Financial Institutions in the Federal Republic of Nigeria.
- You permit us to issue notices required in relation to the operation of your account, legislation or regulation by making such notification available via our communication systems or sending such notification by email, SMS or similar future technologies. Any notices so issued by us, will as far as they contain contractual terms relating to your Cordros Mutual Fund Account, also form part of this agreement.
- In the unlikely event that you are dissatisfied with our services, you are to bring such dissatisfaction to our attention, and such complaints received by Cordros will be treated timeously in accordance with Cordros' Client Complaint Management Policy and applicable rules and regulations.
- Cordros shall take all reasonable precautions to preserve the integrity and prevent any unauthorized use or loss, damage or destruction of your data and information.
- Cordros shall not be liable for any delay in performance attributable to a cause beyond its reasonable control, and it is agreed that force majeure shall mean acts of nature such as earthquake, floods, tornadoes, fire; actions or inaction of government; war, pandemics, civil disturbance, insurrection, vandalism, sabotage; strikes or other industrial disputes; exchange or market rulings; any act neglect or default of the other Party, or any cause outside Cordros' reasonable control.
- You declare that you are the sole beneficial owner(s) of the funds/assets to be deposited within the Fund. You also acknowledge that the funds and source of such funds are legitimate and not directly or indirectly the proceeds of any unlawful activity.
- You also agree to be bound by any review, changes or amendments made to the terms and conditions stated in this document, which may occur from time to time. Provided you receive written notification of such changes via your agreed means of communication.
- The client agrees and authorizes Cordros without reservation to make third-party enquiries about his/her person and business now or at any time in future in order to satisfy all required Know your Customer ("KYC") obligations statutorily imposed from time to time on Financial Institutions in the Federal Republic of Nigeria
- You agree that all documents you provide are valid and authentic and Cordros is authorized to verify any or all of the information provided by you.
- Cordros shall only honor instructions emanating/originating from the registered contact details/signatories of the client as at the creation of the account or via subsequent updates.

This completed form should be sent with payment/evidence of remittance to:

The Fund Manager,
CORDROS ASSET MANAGEMENT LTD.
 E: contactcentre@cordros.com M: 07002673767
 110 Norman Williams Street, Ikoyi, P.O. Box 75590 Victoria Island, Lagos, Nigeria.

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Data Privacy

I hereby consent that my personal information may be processed to enable the company fulfil its obligations or perform services in operating my account. I assert that my personal information belongs to me and I can request for details on the information that was collected, to confirm or correct any inaccuracies. I agree and accept that where the need arises, my information may be shared or transferred to a third-party service provider of the Cordros group or affiliates who provide services in connection with the operation of the business. Cordros guarantees that any information to be shared or transferred shall be strictly confidential and will not be kept longer than necessary for the purpose it was collected, save and except where such information is required to be retained by law. Clients can also opt out of our services at any time by sending an email to info@cordros.com.

Email Indemnity

I hereby consent to the use of electronic mail, and by this consent, I/we unequivocally agree that instructions transmitted by electronic mail be binding for all purposes, including for purposes of evidence. I/We irrevocably undertake and warrant that I/We shall not make any demand or claim, or institute any action against Cordros Asset Management Limited (Cordros) should I/We suffer any loss or liability as a result of my/our consent to the use of electronic mail.

I/We agree to indemnify and hold Cordros harmless against all claims, demands, actions and proceedings which may be made or instituted against Cordros; and all liabilities, losses, damages which may be suffered by Cordros in connection with or arising as a result of my/our consent to electronic mail or Cordros' reliance on any instruction issued from my/our email account indicated herein or subsequently communicated by me/us or my/our nominated investment adviser or any other person I/we authorize to manage my/our account.

Signed this _____ day of _____ 20____

AUTHORIZED SIGNATORY _____

For Fund Manager's Use Only

Fund Subscribed to	Amount Paid	Offer Price	Number of Units Alloted

This completed form should be sent with payment/evidence of remittance to:

The Fund Manager,
CORDROS ASSET MANAGEMENT LTD.
E: contactcentre@cordros.com **M:** 07002673767
 110 Norman Williams Street, Ikoyi, P.O. Box 75590 Victoria Island, Lagos, Nigeria.