

Corporate Mutual Funds Subscription Form.



PLEASE COMPLETE IN BLOCK LETTERS

Basic Information

Name of Company/Estate																														
Registration Number											Date of Incorporation	/		/																
Business Type/ Nature of Business											Industry/Sector																			
Tax Identification Number											Special Control Unit Against Money Laundering Reg. No (if applicable)																			

Contact Details

Registered Address																														
Telephone 1 (Office)											Telephone 2 (Office)																			
Email Address																														

Details of Signatories

Name of Director/Signatory/Administrator/Executor 1

																														Attach Passport Photo	
Designation											Phone Number																				
Email Address																															
Address																															
ID Type											ID number																				
ID Issue Date	/		/		ID Expiry Date	/		/		BVN																					

Name of Director/Signatory/Administrator/Executor 2

																														Attach Passport Photo	
Designation											Phone Number																				
Email Address																															
Address																															
ID Type											ID number																				
ID Issue Date	/		/		ID Expiry Date	/		/		BVN																					

Name of Director/Signatory/Administrator/Executor 3

																														Attach Passport Photo	
Designation											Phone Number																				
Email Address																															
Address																															
ID Type											ID number																				
ID Issue Date	/		/		ID Expiry Date	/		/		BVN																					

Corporate Mutual Funds Subscription Form.



Company/Estate Bank Details

BVN	<input type="text"/>		
Bank 1 (Main Account)	<input type="text"/>	Bank 2	<input type="text"/>
Account Number 1	<input type="text"/>	Account Number 2	<input type="text"/>
Branch Name	<input type="text"/>	Branch Name	<input type="text"/>
Account Name	<input type="text"/>	Account Name	<input type="text"/>
Account Type	Savings <input type="checkbox"/> Current <input type="checkbox"/> Domiciliary <input type="checkbox"/>	Account Type	Savings <input type="checkbox"/> Current <input type="checkbox"/> Domiciliary <input type="checkbox"/>

Expected source of funds to be invested

Employment Income Pension/Retirement Savings Funds from other investments Sale of Business/Assets

Others (Please specify)

Investment Details

Cordros Money Market Fund

Amount to be invested

₦

Quarterly dividend distribution should be

Re-invested into the fund Transferred to my account

Fund Bank Details
 Bank Name: **UBA PLC**
 Acct Number: **1019827121**
 Name: **STL TRUSTEES/CORDROS MONEY MARKET FUND**

Minimum initial subscription is **100 units**

Cordros Milestone Fund

Amount to be invested

₦

Annual dividend distribution should be

Re-invested into the fund Transferred to my account

Fund Bank Details
 Bank Name: **UBA PLC**
 Acct Number: **1021487517**
 Name: **STL TRUSTEES/CORDROS MILESTONE 2023**

Minimum initial subscription is **25 units**

Cordros Dollar Fund

Amount to be invested

\$

Annual dividend distribution should be

Re-invested into the fund Transferred to my account

Fund Bank Details
 To: **Stanbic IBTC**
 Swift Code: **SBICNGLX**
 For Credit To: **Cordros Dollar Fund/STL Trustees**
 Correspondent Bank: **Citibank N.A 111 Wall Street New York**
 Swift Code: **CITIUS33 ABA 021000089**
 Corresponding Bank Account Number: **36127476**

Minimum initial subscription is **5 units**

Cordros Halal Fixed Income Fund

Amount to be invested

₦

Annual dividend distribution should be

Re-invested into the fund Transferred to my account

Fund Bank Details
 Bank Name: **UBA PLC**
 Acct Number: **1024103869**
 Name: **STL TRUSTEES/CORDROS HALAL FIXED INCOME FUND**

Minimum initial subscription is **25 units**

Cordros Fixed Income Fund

Amount to be invested

₦

Annual dividend distribution should be

Re-invested into the fund Transferred to my account

Fund Bank Details
 Bank Name: **UBA PLC**
 Acct Number: **1024393772**
 Name: **STL TRUSTEES/CORDROS FIXED INCOME FUND**

Minimum initial subscription is **25 units**

DETAILS OF PERSONS WITH SIGNIFICANT CONTROL (PSCs)
 Kindly provide in the table below, the details of persons with significant control (holding 5% or more the shareholding capital) of your organization. If a PSC is a corporate organization, kindly state the names of the PSC's ultimate beneficial owner(s) and their percentage shareholding.

S/N	Name Of PSC	% Shareholding

S/N	Name Of PSC	% Shareholding

If the spaces provided above are insufficient, kindly duplicate the table on an extra page and attach to your account opening form.

Corporate Mutual Funds Subscription Form

PEP Declaration

Is any signatory/director/principal officer/shareholder a Politically Exposed Person or Affiliated to a Politically Exposed Person? If Yes, provide details below

Name of PEP

Relationship with PEP

 PEP Status

Politically Exposed Persons (PEP) means: (1) a prominent public figure who is a natural person currently or formerly entrusted with a senior public role or function (e.g., a senior official in the executive, legislative, military, administrative, or judicial branches of government, traditional and/or royal title holders); (2) an immediate family member, which includes as the spouse/partner, parent, grandparent, sibling, child, step-child, or in-law of a prominent public figure; (3) a known close associate, which includes those individuals that are widely- and publicly-known to maintain a close relationship to the prominent public figure. These known close relationships can occur with anyone and in any capacity, but some examples include distant relatives, advisors, partners outside the family unit, employees, business associates and representatives/agents. (4) Prominent religious institutions and their leadership structure.

Signatories

Signature	Date
Designation	

Signature	Date
Designation	

Signature	Date
Designation	

Signature	Date
Designation	

Declaration

- I/We declare that I am at least 18 years old
- I/We am acting on behalf of my child/ward.
- That past performance is not a guide to future returns. The value of investments and income from them may go down as well as up.
- I/We agree that: The redemption process takes 3-5 working days and a draft or fund transfer is made in favor of the unit holder (as the name appears on this form and all requests for redemption shall be on the redemption form.

Document Checklist

- Documents: CAC Form 1.1, CAC BN/1, Status report, Memart and Certificate of Incorporation.
- Board resolution authorizing the opening of this account and appointing signatories.
- Means of Identification & Utility for at least 2 Directors and all Signatories.
- Signature Mandate for Signatories
- Notarization of all documents originating from jurisdictions outside Nigeria
- Certificate of registration with Special Control Unit on Money Laundering (SCUML) (for Designated Non-Financial Banks & Persons only)

Data Privacy

I/We hereby consent that my personal information may be processed to enable the company fulfil its obligations or perform services in operating my account. I assert that my personal information belongs to me and I can request for details on the information that was collected, to confirm or correct any inaccuracies.

I/We agree and accept that where the need arises, my information may be shared or transferred to a third-party service provider of the Cordros group or affiliates who provide services in connection with the operation of the business. Cordros guarantees that any information to be shared or transferred shall be strictly confidential and will not be kept longer than necessary for the purpose it was collected, save and except where such information is required to be retained by law. Clients can also opt out of our services at any time by sending an email to info@cordros.com.

Terms and Conditions

- You agree that all transactions carried out on your account are subject to the provisions of the Money Laundering (Prohibition) Act 2022 of the Federal Republic of Nigeria and hereby agree to carry out your responsibilities under the Act. Furthermore, you agree that Cordros is required by regulation to report all suspicious transactions to the relevant regulatory bodies.
- Cordros reserves the right to record any telephone conversations between you and any member of Cordros' staff and you acknowledge that this is in line with international best practice and shall be done solely for the purpose of resolving any disputes which may arise concerning telephone advice or instructions.
- All redemption proceeds shall be transferred to the dedicated Bank Account Number provided by you in this form only and Cordros is not obligated to honor any other bank account other than that provided by you, unless you have taken steps to substitute the bank account on our records in writing by completing the update form, which content shall take effect immediately upon submission. Please note that third party payments are not allowed and Cordros is not obligated to honor such requests. All redemption proceeds shall be paid only to the registered beneficiary's account.
- Should you have cause to suspect that your bank account details have been compromised by unknown third parties, you are obligated to inform us immediately, failure of which Cordros will not be held responsible for any fraud committed on your chosen bank account subsequent to the transfer of your redemption proceeds.
- The client agrees and authorizes Cordros without reservation to make third-party enquiries about his/her person and business now or at any time in future in order to satisfy all required Know your Customer ("KYC") obligations statutorily imposed from time to time on Financial Institutions in the Federal Republic of Nigeria.
- You permit us to issue notices required in relation to the operation of your account, legislation or regulation by making such notification available via our communication systems or sending such notification by email, SMS or similar future technologies. Any notices so issued by us, will as far as they contain contractual terms relating to your Cordros Mutual Fund Account, also form part of this agreement.
- In the unlikely event that you are dissatisfied with our services, you are to bring such dissatisfaction to our attention, and such complaints received by Cordros will be treated timeously in accordance with Cordros' Client Complaint Management Policy and applicable rules and regulations.
- Cordros shall take all reasonable precautions to preserve the integrity and prevent any unauthorized use or loss, damage or destruction of your data and information.
- Cordros shall not be liable for any delay in performance attributable to a cause beyond its reasonable control, and it is agreed that force majeure shall mean acts of nature such as earthquake, floods, tornadoes, fire; actions or inaction of government; war, pandemics, civil disturbance, insurrection, vandalism, sabotage; strikes or other industrial disputes; exchange or market rulings; any act neglect or default of the other Party, or any cause outside Cordros' reasonable control.
- You declare that you are the sole beneficial owner(s) of the funds/assets to be deposited within the Fund. You also acknowledge that the funds and source of such funds are legitimate and not directly or indirectly the proceeds of any unlawful activity.
- You also agree to be bound by any review, changes or amendments made to the terms and conditions stated in this document, which may occur from time to time. Provided you receive written notification of such changes via your agreed means of communication.
- The client agrees and authorizes Cordros without reservation to make third-party enquiries about his/her person and business now or at any time in future in order to satisfy all required Know your Customer ("KYC") obligations statutorily imposed from time to time on Financial Institutions in the Federal Republic of Nigeria
- You agree that all documents you provide are valid and authentic and Cordros is authorized to verify any or all of the information provided by you.
- Cordros shall only honor instructions emanating/originating from the registered contact details/signatories of the client as at the creation of the account or via subsequent updates.

Email Indemnity

I/We hereby consent to the use of electronic mail, and by this consent, I/we unequivocally agree that instructions transmitted by electronic mail be binding for all purposes, including for purposes of evidence. I/We irrevocably undertake and warrant that I/We shall not make any demand or claim, or institute any action against Cordros Asset Management Limited (Cordros) should I/We suffer any loss or liability as a result of my/our consent to the use of electronic mail.

I/We agree to indemnify and hold Cordros harmless against all claims, demands, actions and proceedings which may be made or instituted against Cordros; and all liabilities, losses, damages which may be suffered by Cordros in connection with or arising as a result of my/our consent to electronic mail or Cordros' reliance on any instruction issued from my/our email account indicated herein or subsequently communicated by me/us or my/our nominated investment adviser or any other person I/we authorize to manage my/our account.

Signed this _____ day of _____, 20____

AUTHORIZED SIGNATORY

AUTHORIZED SIGNATORY

For Fund Manager's Use Only

Fund Subscribed to	Amount Paid	Offer Price	Number of Units Allotted