

# Individual Mutual Funds Subscription Form.



PLEASE COMPLETE IN BLOCK LETTERS

## Personal Information

|  |                              |                               |                              |                            |                             |   |
|--|------------------------------|-------------------------------|------------------------------|----------------------------|-----------------------------|---|
| Title  | Mr. <input type="checkbox"/> | Mrs. <input type="checkbox"/> | Ms. <input type="checkbox"/> | Other <input type="text"/> | Attach<br>Passport<br>Photo |   |
| Full Name of Individual  | <input type="text"/>         |                               |                              |                            |                             |   |
| Full Name of Joint Applicant   | <input type="text"/>         |                               |                              |                            |                             |   |
| Address  | <input type="text"/>         |                               |                              |                            |                             |   |
| Mobile Phone Number  | <input type="text"/>         | Home/Office Phone No.         | <input type="text"/>         |                            |                             |   |
| Email Address  | <input type="text"/>         |                               |                              |                            |                             |   |
| Date of Birth  | <input type="text"/>         | /                             | <input type="text"/>         | /                          |                             | Gender: Male <input type="checkbox"/> Female <input type="checkbox"/> |
| Nationality  | <input type="text"/>         | State of Origin               | <input type="text"/>         | LGA of Origin              |                             | <input type="text"/>  |
| Mother's Maiden Name   | <input type="text"/>         |                               |                              |                            |                             |   |
| Next of Kin Full Name  | <input type="text"/>         |                               |                              |                            |                             |   |
| Next of Kin Relationship   | <input type="text"/>         | Phone Number                  | <input type="text"/>         |                            |                             |   |
| Are you a Political Exposed Person*** or Affiliated to a Politically Exposed Person? | Yes <input type="checkbox"/> |                               | No <input type="checkbox"/>  |                            |                             |   |
| If yes, please give details  | <input type="text"/>         |                               |                              |                            |                             |   |

## Bank Details

|                       |                      |                       |                      |
|-----------------------|----------------------|-----------------------|----------------------|
| BNV                   | <input type="text"/> | Joint Applicant's BNV | <input type="text"/> |
| Bank 1 (Main Account) | <input type="text"/> | Bank 2                | <input type="text"/> |
| Account Number 1      | <input type="text"/> | Account Number 2      | <input type="text"/> |

## Investment Details

|   |   |  |           |      |           |      |
|---|---|--|-----------|------|-----------|------|
| <h3>Cordros Money Market Fund</h3> <p><b>Amount to be invested</b></p> <p>₦ <input type="text"/></p> <p><b>Quarterly dividend distribution should be</b></p> <p>Re-invested <input type="checkbox"/> Transferred to <input type="checkbox"/><br/>into the fund my account</p> <p><b>Fund Bank Details</b><br/>                 Bank Name: <b>UBA PLC</b><br/>                 Acct Number: <b>1019827121</b><br/>                 Name: <b>STL TRUSTEES/CORDROS MONEY MARKET FUND</b></p> <p>Minimum initial subscription is <b>100 units</b></p>         | <h3>Cordros Milestone Fund</h3> <p><b>Amount to be invested</b></p> <p>₦ <input type="text"/></p> <p><b>Annual dividend distribution should be</b></p> <p>Re-invested <input type="checkbox"/> Transferred to <input type="checkbox"/><br/>into the fund my account</p> <p><b>Fund Bank Details</b><br/>                 Bank Name: <b>UBA PLC</b><br/>                 Acct Number: <b>1021487517</b><br/>                 Name: <b>STL TRUSTEES/CORDROS MILESTONE 2023</b></p> <p>Minimum initial subscription is <b>25 units</b></p>       | <h3>Cordros Dollar Fund</h3> <p><b>Amount to be invested</b></p> <p>\$ <input type="text"/></p> <p><b>Annual dividend distribution should be</b></p> <p>Re-invested <input type="checkbox"/> Transferred to <input type="checkbox"/><br/>into the fund my account</p> <p><b>Fund Bank Details</b><br/>                 To: <b>Stanbic IBTC</b><br/>                 Swift Code: <b>SBICNGLX</b><br/>                 For Credit To: <b>Cordros Dollar Fund/STL Trustees</b></p> <p>Correspondent Bank: <b>Citibank N.A 111 Wall Street New York</b></p> <p>Swift Code: <b>CITIUS33 ABA 021000089</b><br/>                 Corresponding Bank Account Number: <b>36127476</b></p> <p>Minimum initial subscription is <b>5 units</b></p> |           |      |           |      |
| <h3>Cordros Halal Fixed Income Fund</h3> <p><b>Amount to be invested</b></p> <p>₦ <input type="text"/></p> <p><b>Annual dividend distribution should be</b></p> <p>Re-invested <input type="checkbox"/> Transferred to <input type="checkbox"/><br/>into the fund my account</p> <p><b>Fund Bank Details</b><br/>                 Bank Name: <b>UBA PLC</b><br/>                 Acct Number: <b>1024103869</b><br/>                 Name: <b>STL TRUSTEES/CORDROS HALAL FIXED INCOME FUND</b></p> <p>Minimum initial subscription is <b>25 units</b></p> | <h3>Cordros Fixed Income Fund</h3> <p><b>Amount to be invested</b></p> <p>₦ <input type="text"/></p> <p><b>Annual dividend distribution should be</b></p> <p>Re-invested <input type="checkbox"/> Transferred to <input type="checkbox"/><br/>into the fund my account</p> <p><b>Fund Bank Details</b><br/>                 Bank Name: <b>UBA PLC</b><br/>                 Acct Number: <b>1024393772</b><br/>                 Name: <b>STL TRUSTEES/CORDROS FIXED INCOME FUND</b></p> <p>Minimum initial subscription is <b>25 units</b></p> | <p>Are you currently subscribed to a Cordros product or offering? Yes <input type="checkbox"/> No <input type="checkbox"/></p> <table border="1"> <tr> <td>Signature</td> <td>Date</td> </tr> <tr> <td>Signature</td> <td>Date</td> </tr> </table>   | Signature | Date | Signature | Date |
| Signature   | Date  |  |           |      |           |      |
| Signature   | Date  |  |           |      |           |      |

Is any signatory a Political Exposed Person or Affiliated to a Politically Exposed Person? Yes  No

If yes, please give details \_\_\_\_\_

\*\*\*Politically Exposed Persons(PEP) are persons who are or have been (in the past) entrusted with a prominent public function (e.g., Heads of State or Government, Governors, Local Government Chairmen, Senior Politicians, Senior Government Officials, Judicial or Military Officials, Senior Executives of State Owned Corporations, Important Political Party Officials, Members of Royal Families) both in foreign countries and in Nigeria, including their family members or close associates.

CORDROS ASSET MANAGEMENT LTD.

E: contactcentre@cordros.com M: 07002673767

110 Norman Williams Street, Ikoyi, P.O. Box 75590 Victoria Island, Lagos, Nigeria.

# Individual Mutual Funds Subscription Form.



## Employment Status

Employed  Self Employed  Not Employed  Retiree  Student

Employer

### Declaration

- I/We declare that I am at least 18 years old
- I/We am acting on behalf of my child/ward.
- That past performance is not a guide to future returns. The value of investments and income from them may go down as well as up.
- I/We agree that:  
The redemption process takes 3-5 working days and a draft or fund transfer is made in favor of the unit holder (as the name appears on this form and all requests for redemption shall be on the redemption form.

### Document Checklist

- Duly completed Subscription form
- Valid means of Identification of the applicant/signatories. E.g. Drivers license/International passport/National Id card
- Utility Bill (Not more than 3 months old) showing residential address of account holders.
- Passport photo of the applicant/Signatories
- Birth Certificate (for minors)

## Terms and Conditions

- You agree that all transactions carried out on your account are subject to the provisions of the Money Laundering (Prohibition) Act 2011 of the Federal Republic of Nigeria and hereby agree to carry out your responsibilities under the Act. Furthermore, you agree that Cordros is required by regulation to report all suspicious transactions to the relevant regulatory bodies.
- Cordros reserves the right to record any telephone conversations between you and any member of Cordros' staff and you acknowledge that this is in line with international best practice and shall be done solely for the purpose of resolving any disputes which may arise concerning telephone advice or instructions.
- All redemption proceeds shall be transferred to the dedicated Bank Account Number provided by you in this form only and Cordros is not obligated to honor any other bank account other than that provided by you, unless you have taken steps to substitute the bank account on our records in writing by completing the update form, which content shall take effect immediately upon submission. Please note that third party payments are not allowed and Cordros is not obligated to honor such requests. All redemption proceeds shall be paid only to the registered beneficiary's account.
- Should you have cause to suspect that your bank account details have been compromised by unknown third parties, you are obligated to inform us immediately, failure of which Cordros will not be held responsible for any fraud committed on your chosen bank account subsequent to the transfer of your redemption proceeds.
- All deposits into Cordros' account on your behalf shall be made directly from your bank account. Funds deposited by third parties shall not be honored by Cordros and that you agree that such funds will be returned to the source account less applicable bank charges.
- You permit us to issue notices required in relation to the operation of your account, legislation or regulation by making such notification available via our communication systems or sending such notification by email, SMS or similar future technologies. Any notices so issued by us, will as far as they contain contractual terms relating to your Cordros Money Market Fund Account, also form part of this agreement.
- In the unlikely event that you are dissatisfied with our services, you are to bring such dissatisfaction to our attention, and such complaints received by Cordros will be treated timeously in accordance with Cordros' Client Complaint Management Policy and applicable rules and regulations.
- Cordros shall take all reasonable precautions to preserve the integrity and prevent any unauthorized use or loss, damage or destruction of your data and information.
- Cordros shall not be liable for any delay in performance attributable to a cause beyond its reasonable control, and it is agreed that force majeure shall mean acts of nature such as earthquake, floods, tornadoes, fire; actions or inaction of government; war, civil disturbance, insurrection, vandalism, sabotage; strikes or other industrial disputes; exchange or market rulings; any act neglect or default of the other Party, or any cause outside Cordros' reasonable control.
- You declare that you are the sole beneficial owner(s) of the funds/assets to be deposited within the Fund. You also acknowledge that the funds and source of such funds are legitimate and not directly or indirectly the proceeds of any unlawful activity.
- You also agree to be bound by any review, changes or amendments made to the terms and conditions stated in this document, which may occur from time to time. Provided you receive written notification of such changes via your agreed means of communication.
- You agree that all documents you provide are valid and authentic and Cordros is authorized to verify any or all of the information provided by you.

## Email Indemnity

I/We hereby consent to the use of electronic mail, and by this consent, I/we unequivocally agree that instructions transmitted by electronic mail be binding for all purposes, including for purposes of evidence. I/We irrevocably undertake and warrant that I/We shall not make any demand or claim, or institute any action against Cordros Asset Management Limited (Cordros) should I/We suffer any loss or liability as a result of my/our consent to the use of electronic mail.

I/We agree to indemnify and hold Cordros harmless against all claims, demands, actions and proceedings which may be made or instituted against Cordros; and all liabilities, losses, damages which may be suffered by Cordros in connection with or arising as a result of my/our consent to electronic mail or Cordros' reliance on any instruction issued from my/our email account indicated herein or subsequently communicated by me/us or my/our nominated investment adviser or any other person I/we authorize to manage my/our account.

Signed this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_

\_\_\_\_\_  
AUTHORIZED SIGNATORY

\_\_\_\_\_  
AUTHORIZED SIGNATORY

## For Fund Manager's Use Only

| Fund Subscribed to | Amount Paid | Offer Price | Number of Units Allotted |
|--------------------|-------------|-------------|--------------------------|
|                    |             |             |                          |

This completed form should be sent with payment/evidence of remittance to:

**The Fund Manager,**  
**CORDROS ASSET MANAGEMENT LTD.**  
**E: contactcentre@cordros.com M: 07002673767**  
 110 Norman Williams Street, Ikoyi, P.O. Box 75590 Victoria Island, Lagos, Nigeria.